



LUCRUM

CAPITAL SECURITIES

Lucrum Capital Securities, Inc. **Privacy Policy Disclosure**

December 1st, 2023

Your Privacy is Important to Us – At Lucrum Capital Securities, Inc. (“LCS”), we understand that confidentiality and security of the personal information that you share with us is very important. LCS is committed to protecting the privacy of personal information, including personal information related to individuals who may be customers, employees, job applicants or others inside or outside of LCS.

The following disclosure explains what personal information we collect, what we do with that information and the steps we have put in place to protect the nonpublic personal information you have entrusted to us.

Information We Collect – LCS collects confidential information about you such as your name, residential and mailing address, telephone number, e-mail address, social security number, date of birth, net worth, income, financial objectives and investment experience. We may access information regarding your account activity and transactions (such as purchases, sales, and inquiries etc.)

How We Treat Your Information - LCS does not disclose any nonpublic information we collect about you to anyone except (1) in furtherance of our business relationship with clients, and then only to those persons necessary to effect the transactions and provide the services that clients authorize; (2) to persons assessing our compliance with industry standards; (3) our attorneys, accountants and auditors; or (4) as otherwise provided by law. We also may share your nonpublic information with our affiliated entities.

LCS does not use any information received from a credit reporting agency for marketing purposes which has not separately been provided by you or by your authorization from other sources

Opt-Out- Clients cannot opt out of allowing LCS to share their nonpublic personal information with non-affiliated third parties which are necessary to service their accounts. Clients may opt

out of allowing LCS to share your nonpublic information with our affiliated entities for marketing purposes.

Security of your Information - We restrict access to your nonpublic personal information to those employees who need to know that information to service your account. We maintain physical, electronic and procedural safeguards that comply with applicable federal and state standards to protect your nonpublic personal information.

If we update this privacy policy, you will be provided with a notice of any material change that we made.

Contact Information. If you have any questions, comments, or concerns about our processing activities, please email us at info@lucrumcapitalsecurities.com. You can also call our office line at 703-206-8116.